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## IDENTIFYING BEAKED WHALES (FAMILY ZIPHIIDAE) USING mtDNA SEQUENCES

The primary characteristics used to identify beaked whale (family Ziphiidae) species (head shape, skull morphology, and location and shape of teeth) are difficult to interpret in the field, and positive identifications may require detailed examination of the head in the laboratory (Balcomb 1989; Heyning 1989; Mead 1989*a,b,c*). Although beaked whales have been observed entangled in the gear of fisheries around the world (*e.g.*, Leatherwood and Reeves 1989, Watanabe 1994, Lien 1994, Julian 1996), the difficulty of identification has precluded accurate assessments of the impact of fishery mortality on populations by species. In part, this is because the collection of heads from specimens entangled in fishing gear is generally not possible due to the large size of these animals, which are often encountered by small fishing vessels. Fortunately, the ability to easily sequence species-specific DNA patterns enables species identifications to be made from just small samples of easily collected and preserved tissue (Baker and Palumbi 1994). In this note we present mitochondrial DNA (mtDNA) control region reference sequences for 10 species belonging to the family Ziphiidae and use these to make species identifications for beaked whales incidentally taken in the California drift gillnet fishery (Hanan *et al.* 1993). We also provide the oligonucleotide primers we developed for the polymerase chain reaction (PCR) and for sequencing a portion of the mtDNA control region of these species.

Although other regions of the mtDNA molecule have been sequenced for beaked whales (Milinkovitch *et al.* 1994, Árnason and Gullberg 1996), effective primers have not been available for the control region, which has been shown to be effective for species identification of cetaceans (Baker and Palumbi 1994, Dizon *et al.* 1996). Using primers from Rosel *et al.* (1994) and established protocols for DNA purification, PCR, and sequencing (Palumbi *et al.* 1991, Saiki *et al.* 1988), we were able to obtain marginal sequences of the control region for three species: *Mesoplodon bidens*, *M. carlhubbsi*, and *Ziphius cavirostris*. Using these sequences, we were able to identify two conserved regions, one in the tRNA proline gene and the other within the control region itself, and develop the necessary primers for PCR and sequencing. The two new primers are L15867 (5'-TCA CCA YCA RCA CCM AAA GCT GA-3') and H16329 (5'-ATG GCC CTG AAG GTA AGA ACC-3'). The numbers in the names we have given these primers correspond to the position of the 3' base of the oligonucleotide in the reference sequence for a fin whale specimen published by Árnason *et al.* (1991*a*). The new primer, H16329, is a modification of H16498 published in Rosel *et al.* (1994). Using the two new primers and primer H0034 (Rosel *et al.* 1994), we were able to sequence 352–

364 base pairs of both strands at the 5' end of the mtDNA control region. All sequencing was done on an Applied Biosystems Inc. (ABI) 370A Automated DNA Sequencer with the 373 DNA Sequencing System software. Complementary strands were compared using the SeqEd DNA Sequence Editor (version 1.0.3; ABI). Alignment of sequences was done by eye.

We obtained tissue samples from 19 individuals of 10 beaked whale species. For each specimen the identification was confirmed on the basis of skull morphology; these specimens provided our reference sequences for species identification. The species represented in this catalog include all those known to occur in the North Pacific except *M. ginkgodens*, and all those known to occur in the North Atlantic except *Hyperoodon ampullatus* and *M. grayi* (Balcomb 1989; Heyning 1989; Mead 1989*a,b*). Our only representative from southern oceans was *Tasmacetus shepherdi* (Mead 1989*c*). All reference sequences are available through GenBank (Table 1).

Since 1990, fishery observers have been placed aboard California drift gillnet vessels to record bycatch data for estimating mortality by species (Julian 1996, Julian and Beeson 1997) and to collect biological samples for determining age and sex selectivity of the gear (Chivers *et al.* 1996). Among the samples collected by drift gillnet fishery observers (see Jefferson *et al.* 1994 for sampling protocol) were 12 which had field identifications indicating a species belonging to the family Ziphiidae. They include six specimens of *Z. cavirostris*, one of *Berardius bairdii*, and five unidentified ziphiids. Besides *Z. cavirostris* and *B. bairdii*, five species of mesoplodont beaked whales: *M. densirostris*, *M. stejnegeri*, *M. hectori*, *M. ginkgodens*, and *M. carlhubbsi* have been recorded off the coast of California (Balcomb 1989, Heyning 1989, Mead 1989*a*). The distribution of these species is not well known, and therefore all of them had to be considered when evaluating the five unknown samples.

Species identifications using mtDNA sequences were based on evaluating only the number of homologous inter- and intraspecific base-pair differences in pairwise comparisons of sequences. A total of 11 gaps were used to align the data set prior to making these comparisons. Transitions and transversions were weighted equally, and gaps were not scored. In our reference collection we had nine samples of *Z. cavirostris* from three geographic areas: the California coastal area, the Central Pacific (Johnston Atoll), and the Gulf of Mexico. Within this series the number of base-pair differences ranged from zero to seven. The number of base-pair differences in pairwise comparisons between the *Z. cavirostris* sequences and all other confirmed reference sequences of other ziphiid species ranged from 25 to 41. For the four *M. bidens* samples (one from Florida and three from the western North Atlantic), the number of base-pair differences ranged from zero to one base, while interspecific pairwise comparisons ranged from 14 to 41 bases. Thus, we have confirmation that the interspecific differences are considerably larger (*i.e.*, 14–45 base pairs) than intraspecific differences (*i.e.*, 0–7 base pairs) for the ziphiid species we have examined (Table 2).

Based on this examination of our reference sequences, we provisionally considered  $\leq 10$  base-pair differences between pairs of sequences to indicate a

*Table 1.* The specimens used to generate reference sequences are listed for each ziphiid species available. The "Catalog #" is the accession number assigned by the Southwest Fisheries Science Center when the sample is received; all codes begin with a "z." The "Field #" is the identification number assigned either in the field by the collector of the specimen or in the laboratory by the institution which archived and subsequently supplied the specimen to us. All sequences for these samples with confirmed species identifications have been submitted to GenBank, and the accession number is listed for each sequence.

Catalog #	Field #	Species	Institution	Accession or number
z4965	LACM86031	<i>Berardius bairdii</i>	Natural History Museum of Los Angeles County	U70467
z4963	LACM86029	<i>B. bairdii</i>	Natural History Museum of Los Angeles County	U70468
z20	RKB1342	<i>Mesoplodon bidens</i>	U.S. Fish and Wildlife Service, Gainesville, FL	U70456
z3854	D-00253	<i>M. bidens</i>	NMFS/Northeast Fisheries Science Center (NEFSC)	U70457
z3858	D-01380	<i>M. bidens</i>	NMFS/NEFSC	U70458
z3859	C9D-906149	<i>M. bidens</i>	NMFS/NEFSC	U70459
z73	LACM84043	<i>M. carlbubbi</i>	Natural History Museum of Los Angeles County	U70461
z4010	N/A	<i>M. denirostris</i>	NMFS/Southwest Fisheries Science Center (SWFSC)	U70464
z2698	5-94-Me-06	<i>M. europaeus</i>	Marineland of Florida	U70460
z4976	USNM504259	<i>M. hectori</i>	Smithsonian Institution, Washington, D.C.	U70466
z4968	USNM504724	<i>M. mirus</i>	Smithsonian Institution, Washington, D.C.	U70465
z4959	AF4245	<i>M. stejnegeri</i>	University of Alaska, Fairbanks	U70462
z4962	LACM84299	<i>M. stejnegeri</i>	Natural History Museum of Los Angeles County	U70463
z3035	N/A	<i>Ziphius cavirostris</i>	Texas Marine Mammal Stranding Network	U70455
z4967	LACM91909	<i>Z. cavirostris</i>	Natural History Museum of Los Angeles County	U70452
z4961	LACM84111	<i>Z. cavirostris</i>	Natural History Museum of Los Angeles County	U70454
z1120	MGK0061	<i>Z. cavirostris</i>	NMFS/SWFSC	U70453
z4971	USNM484878	<i>Tasmacetus shepherdi</i>	Smithsonian Institution, Washington, D.C.	U70469





positive species identification. We would caution though that this criterion will likely depend on the family of species being examined and may have to be revised when control region sequences are available for all the species in Ziphiidae. Ideally, inter- and intraspecific geographic variation should be surveyed for all species in a family with specimen material collected throughout their range to create a reference catalog for identifying unknowns. The sample size needed to do this will ultimately depend on the amount of variability present in the control region for all species in a family. When a survey of variability is incomplete, there is the potential for errors in species identifications. For example, if there are recently diverged species in a family, the sequences may differ by only a few base pairs, and a misidentification may be made. Additionally, a hybrid animal may be identified incorrectly, because mtDNA is matrilineally inherited. We assume that this possibility is of little concern, however, because of the rarity with which hybridization events occur (Árnason *et al.* 1991b). Notwithstanding these caveats, we feel confident that our present catalog enables us to make species identifications for all beaked whale samples, with the exception of *M. ginkgodens*, collected from California waters.

Using the criterion of  $\leq 10$  base pair differences as the basis for species identification, each control-region sequence for a sample collected by a fishery observer was compared to all reference sequences and the species determined (Table 2). The species identification for the six samples identified in the field by fishery observers as *Z. cavirostris* were all confirmed. Of the five unidentified samples, four were identified from the control region sequence as *M. carlhubbsi* and one as *M. stejnegeri*. The sample which had been identified in the field as *B. bairdii* was identified as *M. carlhubbsi*.

Field identifications of *Z. cavirostris* appear to be relatively easy, based on the concordance between field and genetic species identifications. Identification of other beaked whales appears to be more difficult. For example, we show two cases in which a *Mesoplodon* species was misidentified in the field as *B. bairdii*, this despite the fact that cetacean biologists generally think that *B. bairdii* is readily identifiable in the field, because its head shape and adult size are quite different from those of the other beaked whale species. In one case, described above, a sample identified by a fishery observer as *B. bairdii* was four base pairs different from the reference sequence for *M. carlhubbsi* and 40 base pairs different from two reference sequences for *B. bairdii*. The second erroneously field-identified sample supposed to be *B. bairdii* was sent to us from a stranding in Alaska, and no collaborative evidence (*i.e.*, skull or photographs) was collected. The control region sequence of this sample was only one base different from two *M. stejnegeri* reference sequences and 39 bases different from the two *B. bairdii* reference sequences (Table 2). Clearly, identification of beaked whales can be difficult in the field even when the specimen is in hand.

This difficulty in making reliable species identifications in the field for beaked whales has resulted in the development of management plans for U. S. Pacific waters that recognize just three management units: *B. bairdii*, *Z.*

*cavirostris*, and mesoplodont beaked whales (*Mesoplodon* spp.) (Barlow *et al.* 1995a). All three groups are considered "strategic stocks," as defined under the current guidelines for implementation of the Marine Mammal Protection Act, because estimates of incidental fishery mortality exceed the "potential biological removal" (PBR) estimates for each management unit (Barlow *et al.* 1995b). Although the PBRs are likely to be underestimated, because survey-based population estimates for beaked whales are biased downward due to their long dive times and short surface intervals (Barlow *et al.* 1995b), the mortality estimates may also be in error as a result of incorrect species identifications made in the field. Our identifications have provided confirmation of only two *Mesoplodon* species, *M. carlhubbsi* and *M. stejnegeri*, which are taken incidentally by the California drift gillnet fishery and that the incidental take of beaked whales in this fishery is dominated by two species: *M. carlhubbsi* and *Z. cavirostris*. Future management plans should recognize this selectivity.

We feel confident that we can identify all species encountered in the California drift gillnet fishery to date, using control region sequences. Also, when complete with reference sequences from all species of Ziphiidae, the catalog will be useful for identifying any ziphiid species encountered in a fishery or on the beach around the world with the collection of just a small tissue sample.

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## SAMPLE PREPARATION AND ANALYSIS OF MITOCHONDRIAL DNA FROM WHALE BALEEN PLATES

The use of osteological specimens from museums or whaling collections as a source of cetacean DNA offers many advantages: wild animals need not be captured or biopsied, the cost of collection is low, very large sample sizes may be available, rare species may be available, and retrospective sampling may be possible. Many museums and research institutions contain extensive and well-characterized osteological collections. Cetacean bones have been used as a source of mitochondrial DNA (mtDNA) (*e.g.*, Dizon *et al.* 1995). The DNA recoverable from bones, however, is generally less than 300 base pairs in length, because of degradation of the DNA. A possible alternative to bone is baleen. The use of whale baleen plates as a source of DNA presents several advantages. First, it is relatively easy to recover mtDNA from baleen because it is not locked up in a stony matrix, and there seems to be much more DNA in old baleen than in old bone. Second, baleen is usually morphologically diagnostic of the species, whereas single bone fragments often are not. The present note reports preliminary success with the extraction and sequencing of mtDNA from baleen.

Baleen plates used in this study were from four blue whales caught in the